

## Governance and Audit Committee Action Plan

(Updated following meeting held on 23 November 2021)

Minute No. /Agenda No.	Actions	Timeline	Action Owner
<b>Finance (Budget)</b>			
<b>Governance &amp; Risk Management</b>			
21.01.20	The Head of Finance to provide an aggregated action plan of the future generation significant governance issue responses from directorates.	25.01.22 Details to be contained in committee report	IA
20.07.21	Committee agreed to convene an additional meeting with a single item on the agenda – Governance, Internal Control and Risk Management within Waste Management scoped within the authority of the Committee's Terms of Reference on a date to be agreed.	Completed 07.12.21	CP
<b>Audit Wales (AW)</b>			
23.03.21	Audit Wales to provide an account of how their time was applied as part of future Annual Audit Plan reports.		PP
23.11.21	CL to provide management response to AW sustainability report proposals for improvement to be circulated to the Committee or brought to the next meeting	Completed 14.01.22 Email sent to Members	CL
<b>Internal Audit</b>			
23.11.21	Audit Manager to report a breakdown of fraud awareness training compliance data to Committee.	25.01.22	CP
<b>Treasury Management</b>			
<b>Operational Items</b>			
23.03.21	Committee to be provided, in due course, with information on the preparation for 'IFRS 16 – Leases' for the Statement of Accounts 2022/23.		AH
02.04.19	Once disciplinary procedures have concluded within the Waste Management Service, Audit Committee to be informed of the terms of reference and approach for delivering the associated Post Investigation Review.	Interim confidential assurance briefing paper circulated 3.06.2021	CL / CP
23.11.21	AG agreed to provide a briefing report on the mandatory and voluntary external accreditations across the directorate.	Completed 08.12.21	AG
<b>AW Tracker/Other Studies</b>			
26.01.21	The external audit recommendation tracker to be expanded for future reports to Audit Committee, to include 'accountability / reporting to' arrangements, in respect of relevant Committees or Boards.		GN
<b>Performance</b>			
23.11.21	Next complaints report to provide an overview of the preferred option for the new complaints handling system, including details of the specification used to inform the procurement process		
<b>Work Programme</b>			

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<b>Outstanding Actions</b>			
<b>Correspondence</b>			
<b>Scrutiny Engagement / Letters</b>			
20.07.21	That the Committee receive an update report in respect of the working relationship between Governance and Audit Committee and the Scrutiny Committees at a future meeting.	25.01.22	CP / DHT